

*McAfee LLC*  
*Network Security Platform Sensor*  
*NS9100 and NS9200*

*Non-Proprietary Security Policy*  
*Version 14*

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# 1 Module Overview

The Network Security Platform Sensor NS-9000 family consists of the following multi-chip standalone platforms/configurations:

- NS9100 (HW P/N IPS-NS9100 Version 1.00 FIPS Kit P/N IAC-FIPS-KT2)
- NS9200 (HW P/N IPS-NS9200 Version 1.00; FIPS Kit P/N IAC-FIPS-KT2)

All module configurations include FW Version 9.1.17.100.

They are Intrusion Prevention Systems (IPS) and Intrusion Detection Systems (IDS) designed for network protection against zero-day, DoS/DDoS, encrypted and SYN Flood attacks, and real-time prevention of threats like spyware, malware, VoIP vulnerabilities, phishing, botnets, network worms, Trojans, and peer-to-peer applications.

The cryptographic boundary is the outer perimeter of the enclosure, including the removable power supplies and fan trays. (The power supplies and fan trays are excluded from FIPS 140-2 requirements, as they are not security relevant.) Optional network I/O modules are not included in the module boundary. Figure 1 shows the module configuration and the cryptographic boundaries.

**Figure 1 – Image of NS9100/NS9200**



## 2 Security Level

The cryptographic module meets the overall requirements applicable to Level 2 security of FIPS 140-2. Table 1 specifies the levels met for specific FIPS 140-2 areas.

**Table 1 - Module Security Level Specification**

Security Requirements Section	Level
Cryptographic Module Specification	2
Module Ports and Interfaces	2
Roles, Services and Authentication	2
Finite State Model	2
Physical Security	2
Operational Environment	N/A
Cryptographic Key Management	2
EMI/EMC	2
Self-Tests	2
Design Assurance	3
Mitigation of Other Attacks	N/A

## 3 Mode of Operation

### 3.1 FIPS Approved Mode of Operation

The module only supports a FIPS Approved mode of operation. An operator can obtain the FIPS mode indicator by executing the “show” or “status” CLI command, which returns the module’s firmware version, HW version, etc. The firmware and hardware versions must match the FIPS validated versions located on the CMVP website.

The operator must also follow the rules outlined in Sections 8 and 9 of this Security Policy and consult FIPS 140-2 IG 1.23 for further understanding of the use of functions where no security is claimed.

#### Approved Algorithms

The module supports the following FIPS Approved algorithms:

- AES CBC and ECB mode with 128 & 256 bits for encryption and decryption (Cert. #C409)  
*(Note: CBC mode is tested but not used.)*
- AES GCM mode with 128 bits for encryption and decryption use within TLS 1.2 (Cert. #C409)
- AES GCM mode with 128 & 256 bits for encryption and decryption use within SSH v2 (Cert. #C409)
- KTS AES (Cert. #C409) encryption to transport keys and authentication using HMAC (Cert. #C409) within TLS 1.2 and SSH
- KTS AES (Cert. #C409) encryption to transport keys using GCM (Cert. #C409) within TLS 1.2 and SSH
- FIPS 186-4 RSA with 2048 bit keys for key generation and RSA PSS with 2048 bit keys for signature generation with SHA-256, and signature verification with SHA-256 (Cert. #C409)
- SHA-256 and SHA-512 for hashing (Cert. #C409)  
*(Note: SHA-1 is CAVP tested but not used.)*
- HMAC SHA-256 and HMAC SHA-512 for message authentication (Cert. #C409)  
*(Note: The minimum HMAC key size is 20 bytes. HMAC SHA-1 is CAVP tested but not used.)*
- Block Cipher (CTR) DRBG using AES 256 (Cert. #C409)
- FIPS 186-4 XYSSL RSA PKCS #1 1.5 SigVer with 2048 bit keys using SHA-256 for image verification (Cert. #2638).  
*(Note: SHA-1 is CAVP tested but not used.)*
- XYSSL SHA-256 for hashing and for use with image verification (Cert. #3960)  
*(Note: SHA-1 is CAVP tested but not used.)*
- TLS v1.2 KDF for TLS session key derivation (CVL Cert. #C409)
- SSH KDF for SSH session key derivation (CVL Cert. #C410)
- SP 800-133 CKG (Vendor Affirmed)
  - Asymmetric Key Generation (SP 800-133 § 6)
  - Symmetric Key Generation (SP 800-133 § 7.1, 7.2, 7.3)*(Note: The resulting symmetric keys and generated seeds are unmodified output from the DRBG)*

## Allowed Algorithms and Protocols

The module supports the following FIPS allowed algorithms and protocols:

- RSA with 2048-bit keys for (key wrapping, key establishment methodology provides 112 bits of encryption strength)
- EC Diffie-Hellman using P-256 for key agreement (CVL Cert. #C409 with CVL Cert. #C410, key establishment methodology provides 128 bits of encryption strength)
- NDRNG (internal entropy source) for seeding the Block Cipher (CTR) DRBG. The module generates a minimum of 256 bits of entropy for key generation.
- TLS v1.2 with the following algorithm tested cipher suites. The protocol algorithms have been tested by the CAVP (see certificate #s above) but the protocol implementation itself has not been reviewed or tested by the CAVP or CMVP.
  - TLS\_RSA\_WITH\_AES\_128\_GCM\_SHA256 for communication with Network Security Manager (NSM)  
*(Note: This is restricted to RSA-2048)*
- SSH v2 with the following algorithm tested cipher suites. The protocol algorithms have been tested by the CAVP (see certificate #s above) but the protocol implementation itself has not been reviewed or tested by the CAVP or CMVP.
  - Key Exchange methods (i.e., key establishment methods): EC Diffie-hellman-P-256 SHA2
  - Public Key methods (i.e., authentication methods): SSH-RSA  
*(Note: This is restricted to RSA-2048)*
  - Encryption methods: AES128-GCM, AES256-GCM
  - MAC methods: HMAC-256, HMAC-512

AES GCM is only used as part of TLS 1.2 cipher suites conformant to IG A.5, RFC 5288 and SP 800-52. The construction of the 64-bit nonce\_explicit part of the IV is deterministic via a monotonically increasing counter. The module ensures that that when the deterministic part of the IV uses the maximum number of possible values and new session key is established. The module generates new AES-GCM keys if the module loses power.

## Non-Approved Algorithms and Protocols with No Security Claimed

The module supports the following non-Approved but allowed algorithms and protocols with no security claimed (per FIPS IG 1.23):

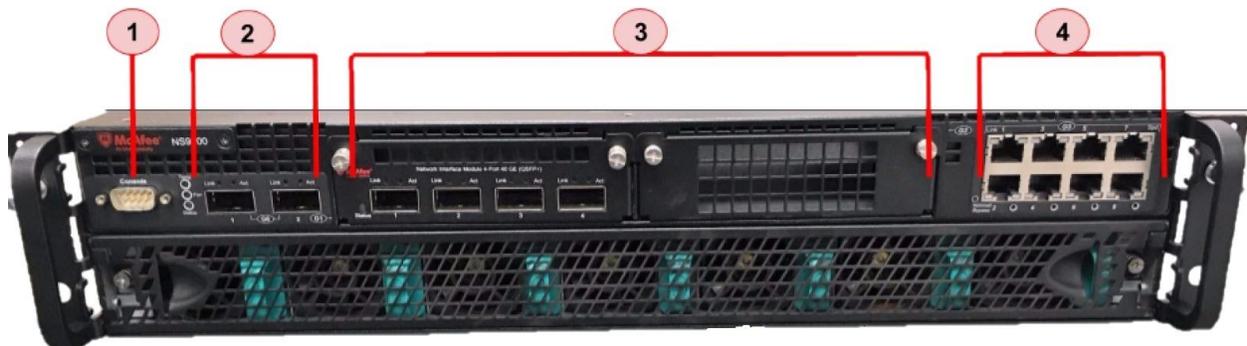
- MD5 used to identify “fingerprint” of potential malware using Global Threat Information (GTI) database (used internal to the module only). Non-Approved algorithms (no security claimed): MD5
- SNMPv3 is used as a transport mechanism with no security claimed. All CSP content in this SNMPv3 channel is additionally key wrapped and signed by NSM to ensure integrity and decrypted in sensor using the sensor TLS private key. Non-CSP SNMPv3 content is deemed plaintext. Non-Approved algorithms (no security claimed): HMAC (noncompliant), SHA (non-compliant), AES (non-compliant), Triple-DES (non-compliant), MD5, DES and SNMP KDF (non-compliant).

- The following algorithms are implemented independently from all other cryptographic code in the module and are used to analyze the network stream for malware and malicious network attacks in accordance with the functionality of the product. For the reasoning stated above, this functionality is allowed in the FIPS Approved mode of operation.
  - Decryption - SSLv2
    - Cipher suites:
      - SSL\_CK\_RC4\_128\_WITH\_MD5
      - SSL\_CK\_RC4\_128\_EXPORT40\_WITH\_MD5
      - SSL\_CK\_DES\_64\_CBC\_WITH\_MD5
      - SSL\_CK\_DES\_192\_EDE3\_CBC\_WITH\_MD5
    - Non-Approved algorithms (no security claimed): Triple-DES (non-compliant), HMAC (non-compliant), RC4, MD5, DES
  - Decryption - SSLv3/TLS
    - Cipher suites:
      - SSL/TLS\_NULL\_WITH\_NULL\_NULL
      - SSL/TLS\_RSA\_WITH\_NULL\_MD5
      - SSL/TLS\_RSA\_WITH\_NULL\_SHA
      - SSL/TLS\_RSA\_WITH\_RC4\_128\_MD5
      - SSL/TLS\_RSA\_WITH\_RC4\_128\_SHA
      - SSL/TLS\_RSA\_WITH\_DES\_CBC\_SHA
      - SSL/TLS\_RSA\_WITH\_3DES\_EDE\_CBC\_SHA
      - SSL/TLS\_RSA\_WITH\_AES\_128\_CBC\_SHA
      - SSL/TLS\_RSA\_WITH\_AES\_256\_CBC\_SHA
    - Non-Approved algorithms (no security claimed): AES (non-compliant), RSA (non-compliant), SHA (non-compliant), Triple-DES (non-compliant), HMAC (non-compliant), RC4, MD5, DES

## 4 Ports and Interfaces

Figure 2 and Figure 3 show the modules' front and rear panels and Table 2 and Table 3 list the modules' ports and interfaces.

**Figure 2 –NS9100/NS9200 Front Panel**

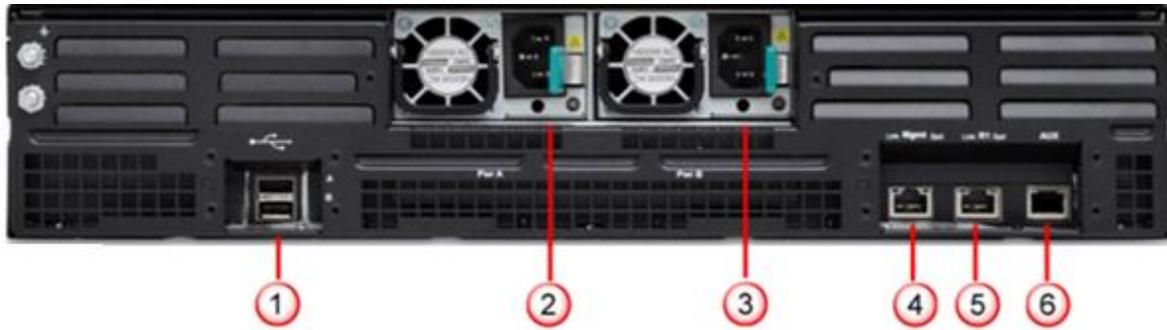


**Table 2 –NS9100/NS9200 Front Panel Ports and Connectors**

Item	Description	Input/Output Type
1	RS232 Console port (1)	Control Input, Status Output

<b>Item</b>	<b>Description</b>	<b>Input/Output Type</b>
2	QSFP+ 40 Gigabit Ethernet ports (2)	Status Output
3	Two slots for I/O modules <ul style="list-style-type: none"> <li>• Slot 1 - QSFP+ 40 Gigabit Ethernet ports (4)</li> <li>• Slot 2 – Empty</li> </ul> <p>The Network I/O modules are outside of the cryptographic boundary. There is no security relevance to using the following Network I/O modules in any combination:</p> <ul style="list-style-type: none"> <li>• QSFP+ 40 Gigabit Ethernet ports (2)</li> <li>• SFP/SFP+ 1/10 Gigabit Ethernet Monitoring ports (8)</li> <li>• RJ-45 10/100/1000 Mbps Ethernet Monitoring ports (6)</li> </ul>	Data Input/Output
4	RJ-45 10/100/1000 Mbps Ethernet Monitoring ports (8)	Data Input/Output
Status LED	Green – Indicates that Sensor is in good health Amber – System is booting up or something is not in good health status	Status Output
Fan LED	Green – All the fans are operating Amber – One or more of the fans has failed	Status Output
Temp LED	Green – Inlet air temperature measured inside the module is normal Amber – Inlet air temperature measured inside the module is too high	Status Output
Gigabit Ports Act LEDs	Amber – Data is received or transmitted Off – No data is being transferred	Status Output
Gigabit ports Link LEDs	Green – The link is up Off – The link is down	Status Output
Normal/Bypass LEDs	Green – The port pair is in Inline Fail-Open/Inline Fail-Close/Span/Tap Mode Amber – The Port Pair is in Bypass Mode	Status Output
Gigabit Ports Speed (Spd) LEDs	Green – Port speed is 1Gbps Amber – Port speed is 100 M Off – Port speed is 10 M	Status Output

**Figure 3 –NS9100/NS9200 Rear Panel**



**Table 3 –NS9100/NS9200 Rear Panel Ports and Connectors**

Item	Description	Input/Output Type
1	USB ports (2)	Data Input
2	Power supply A	Power Port
3	Power supply B	Power Port
4	RJ-45 100/1000/10000 Management port (Mgmt) (2)	Control Input
5	RJ-45 100/1000/10000 Response port (R1) (2)	Status Output
6	RJ-45 Auxiliary ports (Aux) (2)	Control Input/Status Output
Power LED(s)	Green – Power supply has power feed and is functioning Amber – Power supply is not functioning	Status Output
Management Port Speed LED	Green – The port speed is 1000 Mbps Amber – The port speed is 100 Mbps Off – The port speed is 10 Mbps	Status Output
Management Port Link LED	Green – The link is up Off – The link is down	Status Output
Response Port Speed LED	Green – The port speed is 1000 Mbps Amber – The port speed is 100 Mbps Off – The port speed is 10 Mbps	Status Output
Response Port Link LED	Green – The link is up Off – The link is down	Status Output

The module supports the following communication channels with the Network Security Platform (NSP) Manager:

- Install channel: Only used to associate a Sensor with the NSM. They use a “shared secret”. NSM listening on port 8501 (Self-signed certificates) or port 8506 (CA signed certificates).
- Trusted Alert/Control channel (TLS): NSM listening on port 8502 (Self-signed certificates) or port 8507 (CA signed certificates).

- Trusted Packet log channel (TLS): NSM listening on port 8503 (Self-signed certificates) or port 8508 (CA signed certificates).
- Command channel (SNMPv3, plaintext): Sensor listening to NSM and 3<sup>rd</sup> Party SNMP clients on port 8500 (Self-signed certificates).
- Bulk transfer channel (encrypted): NSM listening on port 8504 (CA signed certificates).
- Bulk transfer channel (TLS): NSM listening on port 8509 (CA signed certificates).
- Trusted Authentication Gateway channel (TLS): uses same crypto context as Alert/Control channel. NSM listening on port 8502 (Self-signed certificates or port 8507 (CA signed certificates)).

## 5 Identification and Authentication Policy

The cryptographic module supports three distinct “User” roles (Admin, Sensor Operator(s), and 3<sup>rd</sup> Party SNMP Client(s)) and one “Cryptographic Officer” role (Network Security Platform Manager). Table 4 lists the supported operator roles along with their required identification and authentication techniques. Table 5 outlines each authentication mechanism and the associated strengths.

**Table 4 - Roles and Required Identification and Authentication**

Role	Type of Authentication	Authentication Data
Admin	Role-based operator authentication	Username and Password
Sensor Operator(s)	Role-based operator authentication	Username and Password
Network Security Platform Manager (Cryptographic Officer)	Role-based operator authentication	Digital Signature or Username, Privacy and Authentication Key
3rd Party SNMP Client(s)	Role-based operator authentication	Username, Privacy and Authentication key

**Table 5 – Strengths of Authentication Mechanisms**

Authentication Mechanism	Strength of Mechanism
Username and Password	<p>The password is an alphanumeric string of a minimum of fifteen (15) characters chosen from the set of ninety-three (93) printable and human-readable characters. Whitespace and “?” are not allowed. New passwords are required to include 2 uppercase characters, 2 lowercase characters, 2 numeric characters, and 2 special characters. The fifteen (15) character minimum is enforced by the module.</p> <p>The probability that a random attempt will succeed or a false acceptance will occur is <math>1/\{(10^2)*(26^4)*(31^2)*(93^7)\}</math> which is less than 1/1,000,000.</p> <p>After three (3) consecutive failed authentication attempts, the module will enforce a one (1) minute delay prior to allowing retry. Additionally, the module only supports 5 concurrent SSH sessions. Thus, the probability of successfully authenticating to the module within one minute through random attempts is <math>(3*5)/\{(10^2)*(26^4)*(31^2)*(93^7)\}</math>, which is less than 1/100,000.</p>

<b>Authentication Mechanism</b>	<b>Strength of Mechanism</b>
Digital Signature	<p>RSA 2048-bit keys using SHA-256 are used for the signing (in isolated McAfee laboratory) and verification (by sensor) of digital signatures.</p> <p>The probability that a random attempt will succeed or a false acceptance will occur is <math>1/2^{112}</math>, which is less than <math>1/1,000,000</math>.</p> <p>The module can only perform one (1) digital signature verification per second. The probability of successfully authenticating to the module within one minute through random attempts is <math>60/2^{112}</math>, which is less than <math>1/100,000</math>.</p>
Username, Privacy and Authentication key	<p>The privacy key and authentication key together make an alphanumeric string of a minimum of sixteen (16) characters chosen from the set of sixty-two (62) numbers, lower case letters, and upper case letters.</p> <p>The probability that a random attempt will succeed or a false acceptance will occur is <math>1/62^{16}</math>, which is less than <math>1/1,000,000</math>.</p> <p>The module will allow approximately one (1) attempt per millisecond, meaning that 60,000 attempts can be made per minute. The probability of successfully authenticating to the module within one minute through random attempts is <math>60,000/62^{16}</math>, which is less than <math>1/100,000</math>.</p>

## 6 Access Control Policy

### 6.1 Roles and Services

Table 6 lists each operator role and the services authorized for each role.

For additional information of operation of the module, see the [Network Security Platform 9.1 CLI Guide](#).

**Table 6 – Services Authorized for Roles**

Approved Mode	Authorized Services	Admin	Sensor Operator(s)	NSP Manager	3rd Party SNMP Client(s)
X	<b>Show Status:</b> Provides the status of the module, usage statistics, log data, and alerts.	X	X	X	
X	<b>Sensor Operator Management:</b> Allows Admin to add/delete Sensor Operators, set their service authorization level, set their session timeout limit, and unlock them if needed.	X			
X	<b>Network Configuration:</b> Establish network settings for the module or set them back to default values.	X	X*	X	
X	<b>Administrative Configuration:</b> Other various services provided for admin, private, and support levels.	X	X*	X	
X	<b>Firmware Update:</b> Install an external firmware image through SCP or USB	X	X*	X	
X	<b>Install with NSM:</b> Configures module for use. This step includes establishing trust between the module and the associated management station.	X	X*		
X	<b>Install with 3<sup>rd</sup> Party SNMP Client:</b> Configures module for 3 <sup>rd</sup> Party SNMPv3 use. This step includes establishing trust between the module and the associated 3 <sup>rd</sup> Party SNMP Client. Trust is provided by NSM.			X	
X	<b>Change Passwords:</b> Allows Admin and Sensor Operators to change their associated passwords. Admin can also change/reset Sensor Operators passwords.	X	X*		
X	<b>Zeroize:</b> Destroys all plaintext secrets contained within the module. The “Reset Config” command is used, followed by a reboot.	X	X*		
X	<b>Intrusion Detection/Prevention Management:</b> Management of intrusion detection/prevention policies and configurations through SNMPv3 and TLS.			X	

X	<b>Intrusion Detection/Prevention Monitoring:</b> Limited monitoring of Intrusion Detection/Prevention configuration, status, and statistics through SNMPv3.			X	X
X	<b>Disable SSH/Console Access:</b> Disables SSH/Console access.	X	X*		

\* Depending on the authorization level granted by the Admin

**Unauthenticated Services:**

Table 7 lists the unauthenticated services supported by the module.

**Table 7 – Unauthenticated Services**

<b>Approved Mode</b>	<b>Unauthenticated Services</b>
X	<b>Authentication:</b> This service is associated with an unauthorized operator making a request in order to authenticate themselves to the module.
X	<b>Self-Tests:</b> This service executes the suite of self-tests required by FIPS 140-2. Self-tests can be initiated by power cycling the module or through the CLI.
	<b>Intrusion Prevention Services:</b> Offers protection against zero-day, DoS/DDoS, encrypted and SYN Flood attacks, and real-time prevention of threats like spyware, malware, VoIP vulnerabilities, phishing, botnets, network worms, Trojans, and peer-to-peer applications. <i>Note:</i> This service utilizes the algorithms listed above. This includes an MD5 hash to identify the “fingerprint” of malware and decryption of SSL-encrypted streams for the purpose of detecting malware and network attacks. See the list above.
X	<b>Zeroize:</b> Destroys all plaintext secrets contained within the module. The Internal Rescue process is used.

**6.2 Definition of Critical Security Parameters (CSPs)**

The following are CSPs contained in the module:

- **Administrator Passwords:** Password used for authentication of the “admin” role through Console and SSH login. Extended permissions are given to the “admin” role by using the “support” or “private” passwords.
- **Sensor Operator Passwords:** Passwords used for authentication of “user” accounts through Console and SSH login. Extended permissions are given to the “user” account by using the “support” or “private” passwords.
- **3<sup>rd</sup> Party SNMP Client Privacy and Authentication Keys:** Passwords used for authentication of 3<sup>rd</sup> Party SNMP Clients.
- **NSM SNMP Client Privacy and Authentication Keys:** Passwords used for authentication of NSM SNMP Clients.

- **NSM Initialization Secret (i.e., NSM Shared Secret):** Password used for mutual authentication of the sensor and NSM during initialization.
- **Bulk Transfer Channel Session Key:** AES 128 bit key used to encrypt data packages across the bulk transfer channel.
- **SSH Host Private Keys:** RSA 2048 bit key used for authentication of sensor to remote terminal for CLI access, generated during initialization
- **SSH Session Keys:** Set of EC Diffie-Hellman private key P-256, AES 128/256 bit, and HMAC (SHA-256/512 bit) keys created for each SSH session.
- **TLS Sensor Private Key (for NSM):** RSA 2048 bit key used for authentication of the sensor to NSM.
- **TLS Session Keys (for NSM):** Set of AES 128 bit and HMAC (SHA-256/512 bit) keys created for each TLS session with the NSM.
- **Seed for DRBG:** Seed created by NDRNG and used to seed the Block Cipher (CTR) DRBG. The Nonce is 128 bits and the Entropy Input is 256 bits for a total seed size of 384 bits.
- **DRBG Internal State:** *V* and *Key* used by the DRBG to generate pseudo-random numbers

### 6.3 Definition of Public Keys

The following are the public keys contained in the module:

- **McAfee FW Verification Key:** RSA 2048 bit key used to authenticate firmware images loaded into the module.
- **SSH Session Public Key:** EC Diffie-Hellman P-256 session key created for each SSH session
- **SSH Host Public Key:** RSA 2048 bit key used to authenticate the sensor to the remote client during SSH.
- **SSH Remote Client Public Key:** RSA 2048 bit key used to authenticate the remote client to the sensor during SSH.
- **TLS Sensor Public Key (for NSM):** RSA 2048 bit key used to authenticate the sensor to NSM during TLS connections.
- **TLS NSM Public Key:** RSA 2048 bit key used to authenticate NSM to sensor during TLS connections.

#### 6.4 Definition of CSPs Modes of Access

Table 8 defines the relationship between access to keys/CSPs and the different module services. The types of access used in the table are Use (U), Generate (G), Input (I), Output (O), Store (S), and Zeroize (Z). Z\* is used to denote that only the plaintext portion of the CSP is zeroized (i.e., the CSP is also stored using an Approved algorithm, but that portion is not zeroized).

**Table 8 – Key and CSP Access Rights within Services**

	Administrator Passwords	Sensor Operator Passwords	3rd Party SNMP Client P and A Keys	NSM SNMP Client P and A Keys	NSM Initialization Secret	Bulk Transfer Channel Session Key	SSH Host Private Keys	SSH Session Keys	TLS Sensor Private Key (for NSM)	TLS Session Keys (for NSM)	Seed for DRBG	DRBG Internal State	McAfee FW Verification Key	SSH Host Public Key	SSH Remote Client Public Key	TLS Sensor Public Key (for NSM)	TLS NSM Public Key
Authentication – Admin, Sensor Operator	U	U					G	G				G		O	I		
Authentication – NSP Manager – Digital Signature					U				U	U		G				O	U
SNMP Authentication – NSP Manager to Sensor – Username, Privacy, and Authentication Key				U	S												
Authentication – 3 <sup>rd</sup> Party SNMP Client(s)			U	S													
Show Status								U		U							
Sensor Operator Management								U		U							
Network Configuration								U		U							
Administrative Configuration					I			U		U							
Firmware Update						I		U		U			U				
Install with NSM				S		I			G	U	U	G	U			G	
Install with 3 <sup>rd</sup> Party SNMP Client			S			I							U				
Change Passwords	I	S															
Zeroize (Authenticated)	Z*	Z*	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z
Zeroize (Unauthenticated)	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z
Intrusion Detection/Prevention Management						U			U	U						U	U
Intrusion Detection/Prevention Monitoring			U	U													
Disable SSH/Console Access	U																
Self Tests																	



## **7 Operational Environment**

The device supports a limited operational environment.

## 8 Security Rules

The cryptographic module's design corresponds to the module's security rules. This section requirements of this FIPS 140-2 Level 2 module.

- The cryptographic module shall provide four distinct operator roles: Admin, Sensor Operator(s), Network Security Platform Manager, and 3<sup>rd</sup> Party SNMP Client(s).
- The cryptographic module shall provide role-based authentication and each change of operator roles shall be authenticated and previous authentication results are cleared when the module transitions to a power-off state.
- When the module has not been placed in a valid role, the operator shall not have access to any cryptographic services.
- The cryptographic module shall perform the following tests:
  - Power up Self-Tests are performed without operator input:
    - Firmware Integrity Test: XYSSL RSA 2048 (Cert. #2638) using SHA-256 (Cert. #3960) for hashing
    - Cryptographic algorithm known answer tests (KATs) and pairwise consistency tests (PCT):
      - AES ECB 128 Encryption KAT and Decryption KAT (Cert. #C409)
      - AES GCM Encryption KAT and Decryption KAT (Cert. #C409)
      - RSA 2048 PSS Key Generation/Sign/Verify Pairwise Consistency Test (Cert. #C409)
      - SHA-1 KAT (Cert. #C409)
      - SHA-256 KAT (Cert. #C409)
      - SHA-512 KAT (Cert. #C409)
      - Block Cipher (CTR) DRBG KAT and SP 800-90A DRBG Section 11.3 Health Checks (Cert. #C409)
      - HMAC SHA-256 KAT (Cert. #C409)
      - HMAC SHA-512 KAT (Cert. #C409)
      - XYSSL RSA 2048 Signature Verification KAT (Cert. #2638)  
(SHA-256 based signatures)
      - XYSSL SHA-256 KAT (Cert. #3960)
      - TLS 1.2 KDF KAT (CVL Cert. #C409)
      - SSH KDF KAT (CVL Cert. #C410)

If any of these tests fail the following message will be displayed:

```
!!! CRITICAL FAILURE !!!  
FIPS 140-2 POST and KAT...Failed  
REBOOTING IN 15 SECONDS
```

- Conditional Self-Tests:

- Block Cipher (CTR) DRBG Continuous Test
- SP 800-90A DRBG Section 11.3 Health Checks
- NDRNG Continuous Test
- RSA KeyGen/Sign/Verify Pairwise Consistency Test (Cert. #C409)
- External Firmware Load Test – XYSSL RSA 2048 (Cert. #2638) using SHA-256 (Cert. #3960) for hashing

If the firmware load test fails the following message will be displayed: "Load Image with SCP Failed."

- At any time the cryptographic module is in an idle state, the operator shall be capable of commanding the module to perform the power up self-test by power cycling.
- Data output shall be inhibited during self-tests and error states.
  - a. All Power Up Self-Test are run before data output ports are initialized.
  - b. In the case of failed Power Up Self Tests, the module enters an error state, and reboots.
- Data output shall be logically disconnected during key generation and zeroization.
- If the module loses power and then it is restored, then a new key shall be established for use with the AES GCM encryption/decryption processes.
- For both Zeroize services (authenticated and unauthenticated), the operator must remain in control of the module or be physically present with the module to assure that the entire zeroization process completes successfully. This may take up to one minute.
- Status information shall not contain CSPs or sensitive data that if misused could lead to a compromise of the module.
- If a non-FIPS validated firmware version is loaded onto the module, then the module is no longer a FIPS validated module.
- The module shall only support five concurrent SSH operators when SSH is enabled.
- The cryptographic module shall not be configured to transmit files to McAfee Advanced Threat Detection.

## 9 Physical Security Policy

### 9.1 Physical Security Mechanisms

The cryptographic module includes the following physical security mechanisms:

- Production-grade components
- Production-grade opaque enclosure with tamper evident seals. Tamper evident seals and further instructions are obtained in the FIPS Kits with the following part number:
  - NS9100/NS9200: IAC-FIPS-KT2

### 9.2 Operator Required Actions

For the module to operate in a FIPS Approved mode, the tamper seals shall be placed by the Admin role as specified below. The Admin must clean the chassis of any dirt before applying the labels. Per FIPS 140-2 Implementation Guidance (IG) 14.4, the Admin role is also responsible for the following:

- Securing and having control at all times of any unused seals
- Direct control and observation of any changes to the module, such as reconfigurations, where the tamper evident seals or security appliances are removed or installed to ensure the security of the module is maintained during such changes and the module is returned to a FIPS Approved state.

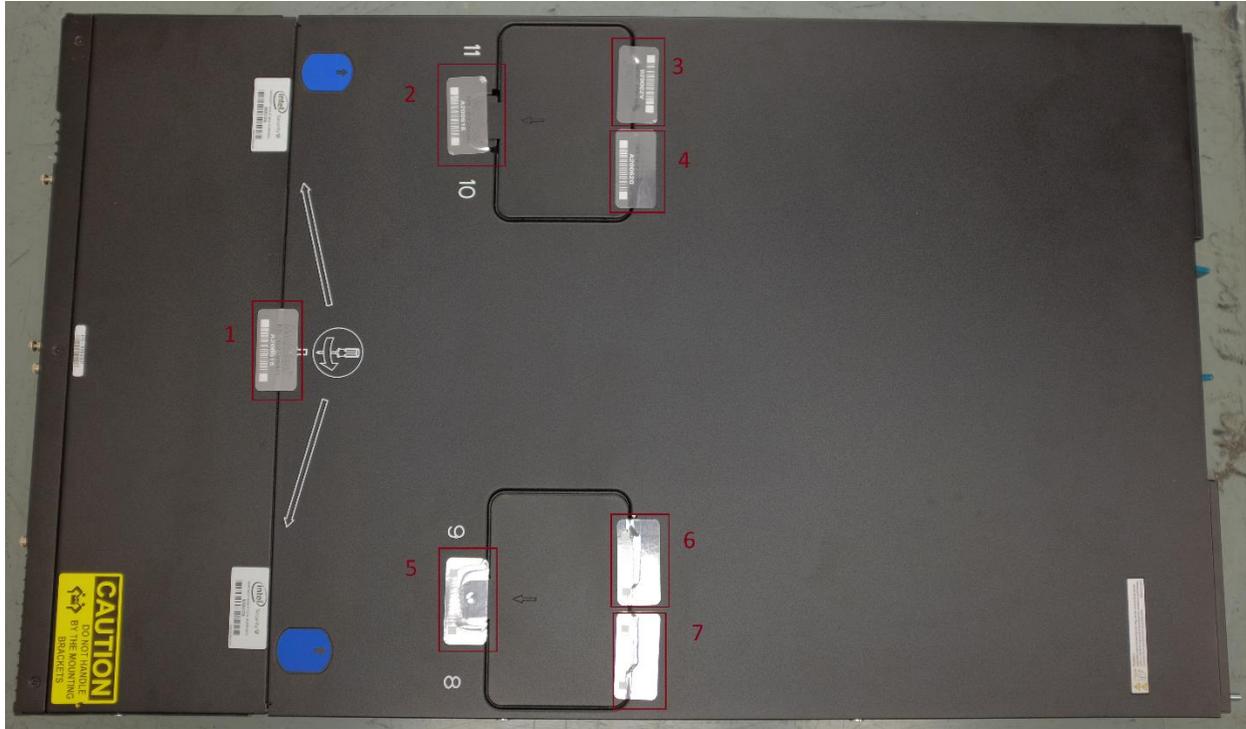
The Admin is also required to periodically inspect tamper evident seals. Table 9 outlines the recommendations for inspecting/testing physical security mechanisms of the module. If the Admin finds evidence of tampering, then the module is no longer FIPS compliant.

**Table 9 – Inspection/Testing of Physical Security Mechanisms**

<b>Physical Security Mechanisms</b>	<b>Recommended Frequency of Inspection/Test</b>	<b>Inspection/Test Guidance Details</b>
Tamper Evident Seals	As specified per end user policy, annually at a minimum	Visually inspect the labels for tears, rips, dissolved adhesive, and other signs of malice.
Opaque Enclosure	As specified per end user policy, annually at a minimum	Visually inspect the enclosure for broken screws, bent casing, scratches, and other questionable markings.

Figure 4 and Figure 5 depicts the tamper label locations on the cryptographic module for the NS9100/NS9200 platforms. There are 9 tamper labels and they are numbered in red. An example tamper label is shown in Figure 6.

**Figure 4 – Tamper Label Placement Top (NS9100/NS9200 Sensors)**



**Figure 5 – Tamper Label Placement Front (NS9100/NS9200 Sensors)**



**Figure 6 – Tamper Label**



## 10 Mitigation of Other Attacks Policy

The module has not been designed to mitigate any specific attacks beyond the scope of FIPS 140-2 requirements.

## 11 Glossary

Acronym	Definition
AES	Advanced Encryption Standard
CKG	Cryptographic Key Generation
CMVP	Cryptographic Module Validation Program
CO	Cryptographic Officer
CSP	Critical Security Parameter
CVL	Component Validation List
DRBG	Deterministic Random Number Generator
FIPS	Federal Information Processing Standard
HMAC	Keyed-Hash Message Authentication Code
IG	Implementation Guidance
IDS	Intrusion Detection Systems
IPS	Intrusion Prevention Systems
KAT	Known Answer Test
KDF	Key Derivation Function
KTS	Key Transport Scheme
NDRNG	Non-Deterministic Random Number Generator
NSM	Network Security Manager
NSP	Network Security Platform
PCT	Pairwise Consistency Test
RSA	Rivest, Shamir, Adleman algorithm
SHA/SHS	Secure Hash Algorithm/Standard
SCP	Secure Copy